IN THE UNITED STA AND TRADEMARK OFFICE

In re Patent Application of:

Kevin SULLIVAN

Serial No.: 09/511,362

Filed: February 23, 2000

Group Art Unit: 2768

Examiner: To Be Assigned APR 0 5 2002

Technology Center 2100

For: MUTUAL FUND CARD METHOD AND SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants respectfully request consideration of the references listed on the attached Form PTO-1449. Copies of the references listed are also enclosed.

Applicants respectfully request that the Examiner consider the references cited on the PTO-1449 and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

In submitting this Information Disclosure Statement, Applicant is not representing that the references are prior art or material to patentability.

This IDS is submitted prior to the issuance of a first Office Action on the merits; therefore, it is believed that no fees are required in connection therewith. If any fees are necessitated by the filing of this Information Disclosure Statement, please charge the undersigned's Deposit Account No. 50-0206. A duplicate copy of this document is enclosed.

Respectfully submitted,

HUNTON & WILLIAMS

Date: April 3, 2002

By:

Stephen T. Schriner Registration No. 43,097

1900 K Street, N.W., Suite 1200

Washington, D.C. 20006-1109 Ph. (202) 955-1500 Fax (202) 778-2201